ILLUSTRATIVE SAMPLE OUTPUT

AI Production Readiness & Governance Review

Example organisation: a mid-size non-bank lender (fictional)
Scope: three AI use cases in the retail lending unit
Method: scored against the CLEAR framework - Consistency, Lineage, Evaluation, Accountability, Resilience

This is an illustrative example, not client work. The organisation, use cases, scores and findings are fictional. They show the format and depth of the five-to-seven-day Review. A real Review covers up to three of your own use cases and ends in a 60-minute executive readout.

Beyond The Black Box · Prashant Bhardwaj · hello@beyondtheblackbox.in · beyondtheblackbox.in

1 · AT A GLANCE

Readiness and governance heatmap

One of three use cases is ready to scale with fixes. The collections agent should not expand until ownership, lineage and evaluation are in place.

USE CASE	CONSISTENCY	LINEAGE	EVALUATION	ACCOUNTABILITY	RESILIENCE	VERDICT
Credit memo assistant GenAI drafts underwriting memos	3 Adequate	2 Weak	3 Adequate	2 Weak	2 Weak	**Conditional** Fix three gaps before scaling
Collections prioritisation agent Agent ranks accounts and drafts outreach	2 Weak	1 Critical gap	1 Critical gap	1 Critical gap	2 Weak	**Not ready** Pause expansion
Customer service assistant GenAI answers servicing queries	3 Adequate	3 Adequate	2 Weak	3 Adequate	3 Adequate	**Ready with fixes** One evaluation gap

1 Critical gap 2 Weak 3 Adequate 4 Strong

The gaps that block production, ranked

Ranked by regulatory and customer exposure, not by effort. The first three apply to the collections agent and are the reason for the pause recommendation.

#	EXPOSURE	CLEAR CHECK	USE CASE	FINDING
1	High	**Accountability**	Collections agent	No named business owner and no written limits on what the agent may decide or send to customers.
2	High	**Lineage**	Collections agent	Decisions cannot be traced to the data, model version and prompt that produced them.
3	High	**Evaluation**	Collections agent	Outputs were never tested against collections policy or fair-treatment rules before go-live.
4	Medium	**Lineage**	Credit memo assistant	Prompt and model changes are not versioned, so memos from different months are not comparable.
5	Medium	**Resilience**	Memo assistant, collections agent	No fallback when the model vendor is down or changes behaviour; staff have no manual path.

3 · WHAT TO DO NEXT

90-day action plan

Sequenced so the highest-exposure gaps close first. Re-score all three use cases at day 90 and report the movement to the board.

DAYS 0-30

Stop the exposure

— Name an accountable owner for each use case

— Set written authority limits for the collections agent

— Pause collections agent expansion; keep current scope

— Start a decision log: input, model version, output, reviewer

DAYS 31-60

Build the evidence

— Test collections outputs against policy and fair-treatment rules

— Version every prompt and model change, with sign-off

— Capture lineage for memo and collections decisions

— Agree go / no-go criteria for each use case

DAYS 61-90

Resilient and reportable

— Define a fallback and manual path for vendor outages

— Run one failure drill per use case

— Re-score all three use cases against CLEAR

— Present a one-page AI governance update to the board